### Plasma diagnostics from optically thin plasmas



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Plasma diagnostics: what we want to know

Anything we can

Thermal status Electron density Ion and electron temperatures Plasma thermal distribution **Dynamics Bulk motions** Non-thermal motions Composition Elemental abundances Ion abundances Magnetic field

## Plan of the lecture

Focus on *EUV spectral line diagnostics only* Topics:

- 1 Basics of line formation mechanisms
- 2 Plasma diagnostics techniques
  - A. Intensity ratios
  - B. Thermal structure
  - C. Line width
  - D. Ion temperatures
  - E. Wind speed

Will neglect: magnetic field (DKIST, UCoMP)

## What we measure



## How to use the measurements

#### (Courtesy Hinode/EIS team)



Line intensity Many plasma parameters Line centroid Line-of-sight bulk speed Line width (FWHM) Non-thermal dynamics Ion temperatures

 $\frac{\lambda - \lambda_{ij}}{\lambda} = \frac{\mathbf{v}}{c}$ 

 $FWHM = \frac{\lambda_{ji}}{C} \sqrt{4 \ln 2 \left(\frac{2k_B T_{ion}}{M} + v_{nth}^2\right)}$ 

## How to use the line intensity

Line intensities

Electron density and temperature Plasma thermal structure Element abundances

Line polarization Magnetic field

Exotic techniques Absorption Techniques for simultaneous diagnostics Empirical modeling

## The solar coronal spectrum

The ingredients — individual volume dV

 $dW_{ji} = N_j (X^{+m}) A_{ji} h v_{ji} dV \quad erg \ s^{-1} \qquad \text{Emitted power}$   $hv_{ji} = E_j - E_i \qquad \text{Transition energy}$   $A_{ji} \qquad \text{Einstein coefficient}$   $N_j (X^{+m}) \qquad \text{Number density}$   $dV = S \ dx \qquad \text{Emitting volume}$ 

#### The optically thin assumption

$$F = \frac{S}{4\pi d^2} \int_{-\infty}^{+\infty} N_j \left( X^{+m} \right) A_{ji} h v_{ji} dx$$

## The solar coronal spectrum



 $X^{+m}$ 

 $N_j(X^{+m})$ N(XLevel population Ion population

**Electron density** 

Free electron/hydrogen ratio

N<sub>e</sub>

X

**Element** abundance

(the charge state

composition)

Absolute abundance = abundance relative to H

# The solar coronal spectrum

Each of these terms is our gateway to plasma properties

- $\frac{N_j(X^{+m})}{N(X^{+m})} = f(T_e, N_e)$
- $\frac{N(X^{+m})}{N(X)} = f(T_e)$

 $\frac{N(X)}{N(H)}$ 

 $N_{e}$ 

 $\frac{N(H)}{N_e} = f(T_e)$ 

Used for electron density and temperature diagnostics

Used for thermal structure diagnostics

Used for element abundance diagnostics

T-sensitive below 100,000K

Denser plasmas will emit more

# The level population

Level population is the key for single ion emission

 $N_j(X^{+m})$ 

Ion in excited state decays to a lower energy, emitting a photon

How do I get the ion in and out an excited state?

- 1 Electron-ion collision
- 2 Photo-excitation (neglect this one)



 $\frac{X^{+m}}{X^{+m}} \stackrel{N(X^{+m})}{\longrightarrow} \frac{N(X)}{N(X)} \frac{N(X)}{N(H)} \frac{N(H)}{N_e} N_e$ 

# The level population



Statistical equilibrium - multi-level atom

$$\sum_{j>i} N_j N_e C_{ji}^d + \sum_{ji} N_j A_{ji} =$$
Collisional  
de-excitation  
from higher levels
Collisional  
excitation from higher levels  
lower levels
$$N_i \left( \sum_{ji} N_e C_{ij}^e + \sum_{j
Out
$$\sum_{\substack{i < N_i = 1}} N_i = 1$$$$

Normalization condition

- 1 Density determines # of electron-ion collisions
- 2 Temperature determines collision rates C<sup>d</sup>, C<sup>e</sup>



This system of equations is used to calculate the charge state evolution of solar wind, flares etc...

# The ion population

$$N_{j}(X^{+m}) = \frac{N_{j}(X^{+m})}{N(X^{+m})} \underbrace{N(X^{+m})}_{N(X)} \underbrace{N(X)}_{N(H)} \frac{N(H)}{N_{e}} N_{e}^{H}$$

The approximations:

Ionization equilibrium No photoionization

$$0 = N_e \left[ N_{i-1} \left( \alpha^{ci} + \alpha^{ea} \right) + N_{i+i} \left( \alpha^{rr} + \alpha^{dr} \right) \right] - N_e N_i \left[ \alpha^{ci} + \alpha^{ea} + \alpha^{rr} + \alpha^{dr} \right]$$
$$\sum_{i=1}^N N_i = 1$$

- 1 No more dependence on electron density!
- 2 Ion population only depends on  $T_{\rm e}$
- 3 Rates are STRONGLY temperature dependent

## The ionization balance

#### An ion can be associated to a temperature range



The other parameters  
$$N_{j}(X^{+m}) = \frac{N_{j}(X^{+m})}{N(X^{+m})} \frac{N(X^{+m})}{N(X)} \frac{N(X)}{N(H)} \frac{N(H)}{N_{e}} N_{e}$$

**Element abundance** 

- Fixed for a given plasma
- May change along LOS

- Photosphere and corona have different composition — *the FIP effect* 

The other parameters  
$$N_{j}(X^{+m}) = \frac{N_{j}(X^{+m})}{N(X^{+m})} \frac{N(X^{+m})}{N(X)} \frac{N(X)}{N(H)} \frac{N(H)}{N_{e}} N_{e}$$

Hydrogen-electron ratio

#### Determined by H, He ionization



## The final line flux

**Define new quantities:** 

$$G(N_e,T_e) = \frac{N_j(X^{+m})}{N(X^{+m})} \frac{N(X^{+m})}{N(X)} \frac{N(X)}{N(H)} \frac{N(H)}{N_e} \frac{A_{ji}}{N_e} hv_{ji}$$

**Contribution Function** 



 G(T<sub>e</sub>,N<sub>e</sub>) describes atomic physics (can calculate it beforehand with CHIANTI)
 DEM describes plasma properties
 LOS integration is now over temperature

# I - Line intensity ratios

Most popular technique Fast and easy!

$$R = \frac{F_1}{F_2} = \frac{\int_0^{\infty} G_1(T_e, N_e)\varphi(T)dT}{\int_0^{\infty} G_2(T_e, N_e)\varphi(T)dT} \sim \frac{G_1(T_e, N_e)}{G_2(T_e, N_e)}$$
$$R_{same} \sim \frac{\left[\frac{N_i(X^{+m})}{N(X^{+m})}\right]}{\left[\frac{N_j(X^{+m})}{N(X^{+m})}\right]}$$

Lines from the same ion:

Lines from different ions of the same element:

Lines from different elements:

$$R_{diff} \sim R_{same} \frac{\left[\frac{N(X^{+m})}{N(X)}\right]}{\left[\frac{N(X^{+n})}{N(X)}\right]}$$

$$R \sim R_{diff} \frac{N(X_1)}{N(X_2)}$$

## I - Line intensity ratios - same ion



Lines from the same ion - pros:

- Ratio can be used for temperature and density diagnostics, or both
- Ratio is independent of ion and element abundances
- Often there are many lines to choose from

## I - Line intensity ratios - different ions



#### Lines from different ions

Pros:

- Consecutive ions provide excellent T diagnostics
- Element abundance is not a problem

Cons:

- Add ionization/recombination rate uncertainties
- They might be emitted by different plasmas

# I - Line intensity ratios - different elements



Pros:

- Study relative abundances, FIP effect

Cons:

- Need to know plasma T, Ne beforehand
- Need ions formed at the same temperature

# II - Thermal structure diagnostics

### Isothermal plasma:

$$\begin{cases} F = \frac{1}{4\pi d^2} \int G(N_e, T_e) N_e^2 \, dV \\ EM = N_e^2 V \\ \rightarrow F = \frac{G(N_e, T_e^{pl})}{4\pi d^2} EM \end{cases}$$

Can determine the EM (maybe N<sub>e</sub>) and T<sub>e</sub> from density-insensitive lines of different ions:

$$EM(T_e) = 4\pi d^2 \frac{F}{G(N_e, T_e)} = EM \frac{G(N_e, T_e^{pl})}{G(N_e, T_e)}$$



# II - Thermal structure diagnostics

#### Multithermal plasma:

$$F = \frac{1}{4\pi d^2} \int G(N_e, T_e) \varphi(T_e) dT$$

Need to determine  $\varphi(T_e)$ 

#### Three main methods:

- Iterative techniques Inversion techniques Monte Carlo techniques
- Two main problems:
  - Non-unique solution Need lines from many ions



(Hahn et al. 2011)

# III - Line width diagnostics

Line profile - key facts:

$$FWHM = \frac{V_{ji}}{c} \sqrt{4 \ln 2 \left(\frac{2k_B T_{ion}}{M_{ion}} + V_{nth}^2\right)}$$

Thermal motions (ion temperature)

Problem: You have two unknowns in one observable Non-thermal motions

Rotation Oscillations Turbulence Explosive motions

# III - Line width diagnostics



These assumptions are not always justified

## IV - Ion temperature

Dealing with FWHM:

$$FWHM = \frac{\lambda_{ji}}{c} \sqrt{4 \ln 2 \left(\frac{2k_B T_{ion}}{M} + v_{nth}^2\right)}$$

3 - If you consider many lines (Tu et al. 1998)

Step 1: Simply determine upper limits to Tion and Vnth

$$T_{ion} < T_{ion}^{\max}$$
  $v_{nth} < v_{nth}^{\max}$ 

Step 2: Assume v<sub>nth</sub> is the same for all

Determine maximum vnth value among all lines

$$\mathbf{v}_{nth} < \min(\mathbf{v}_{nth}^{\max}) = \mathbf{v}_{\min} \longrightarrow T_{ion} > T_{ion}(\mathbf{v}_{\min})$$

Determine T<sub>ion</sub> range

## IV - Ion temperature

#### Off disk streamer

#### Off disk coronal hole



# V - Solar wind speed



Increasing wind speed changes O VI 1031/1037 ratio

Intensity ratio is constant

Resonant scattering depends on C II lines

Doppler shifts favor the weaker O VI line

