Particle Acceleration in Solar Flares and Associated CME Shocks: What Have We Learned From FERMI Observations



The Connections Between Solar Energetic Particles (SEPs) and Radiation Producing Particles (RPPs) in Solar Eruptive Events



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## Radiation Producing Particles: RPPs

#### Focus of solar physics





## Solar Energetic Particles: SEPs

Focus of Heliophysics

Acceleration of Solar Wind Particles ?

In the CME-shock environment



## Connections between SEPs and RPPs

- 1. CME accelerated particles as RPPs: *Fermi Observations*
- 2. RPPs as seeds in CME-Acceleration of SEPs: RHESSI Obs.



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## Outline: Part 1

I. Motivation:

Fermi observations of extended >100 MeV radiation from solar disk and behind the limb (BTL) flares

II. Models of Gamma-ray Emission

Emission processes and acceleration sites

III. The Escape Time from acceleration site

Acceleration and Transport Processes

IV. Acceleration at CME and transport to the Sun Simulations results and theoretical conjectures

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#### Example of a long duration gamma-ray emission

GOES X-rays And SEP protons

Fermi Gamma-rays Flux And spectral index SEP proton Hardness Ratio



ALSO: the number of SEP protons much larger than those producing gamma-rays

#### Behind the limb flares Sol:2014-09-01 and Sol:2013-10-11

#### 1. Light Curves





Relevant Observations of *Sol:*2014-09-01 and *Sol:*2013-10-11

#### 1. Radio-Xray Light Curves



### Accelerated electron to proton ratio

In general flare impulsive RPPs are electron dominate but Long duration RPPs are mainly protons, more akin to SEPs which are proton dominated

Flare *E<sub>e</sub>/E<sub>p</sub>* 





#### LAT centroids of a long duration 3-7-2012 flare



Relevant Observations of *Sol:*2014-09-01 and *Sol:*2013-10-11

#### 2. Images

#### Size 56"x30"; Sep. 270" Height 200 Mm

#### Size 38"x16"; Sep. 65" Height 15 Mm



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### Models for Loop top Emissions Hard X-rays and Microwaves

High Corona Emission:  $h > R_{\odot}(1 - \cos\theta) / \cos\theta$ 

Sep14  $h = 2 \times 10^{10}$  cm; Oct13  $h = 2 \times 10^{9}$  cm Continuous Acceleration N(E, t)Flux escaping to occulted foot points  $\dot{Q}(E, t) = N(E, t)/T_{\rm esc}(E, t)$ 











## Models for gamma-ray emission Flare site acceleration



## Models for gamma-ray emission Flare site acceleration



## Models for gamma-ray emission Flare site acceleration



## Models of gamma-ray emission

#### 3. Acceleration at CME-shock and escape from downstream to the Sun

#### **Objections**

 Need to transport particles to region far away from the Active region To the visible side of the Sun for BTL flares This requires diffusion across the magnetic field lines Scattering by Turbulence Behind the Shock Or presence of B field lines connecting CME to far regions As we will see reconstruction of B field show this to be true

2. Field lines stretched by CME highly convergingA small fraction in the loss cone (H.Hudson; L. Klein)As shown below proper treatment of escape from CME and Transportto the Sun show a significant fraction of particles can reach the Sun



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## The Escape Time

In most models of particle acceleration a crucial question is: *How much time the particles spend in the acceleration site?* What determines the escape time of particles from the acceleration site?

The escape time is related to the flux of escaping particles as:  $\dot{Q}(E,t) = N(E,t)/T_{\rm esc}(E,t)$ 

The Escape Time is Important For Both Parts of This Talk

## The Escape Time

 Escape from Upstream of CME to 1 A.U. and from Downstream to the Sun
 Escape time from reconnection site up to 1 A.U. And down to the Sun

#### General Fokker-Planck Acceleration and Transport Equation

$$\begin{split} \frac{\partial f}{\partial t} + v\mu \frac{\partial f}{\partial s} &= -\frac{v\partial \ln B}{2\partial s} \frac{\partial}{\partial \mu} \left[ (1-\mu^2)f \right] + \left(\frac{\partial u}{\partial s}\right) \frac{p}{3} \left(\frac{\partial f}{\partial p}\right) + \frac{1}{p^2} \frac{\partial}{\partial p} [p^2 \dot{p}_{\rm L} f] \\ &+ \left(\frac{1}{p^2}\right) \frac{\partial}{\partial p} \left[ p^2 D_{pp} \frac{\partial f}{\partial p} + p^2 D_{p\mu} \frac{\partial f}{\partial \mu} \right] + \frac{\partial}{\partial \mu} \left[ D_{\mu\mu} \frac{\partial f}{\partial \mu} + D_{\mu p} \frac{\partial f}{\partial p} \right] + \dot{S} \\ \end{split}$$

$$\end{split}$$

$$\text{Isotropic or pitch angle averaged } F(p, s, t) &\equiv \frac{1}{2} \int_{-1}^{1} d\mu f(p, \mu, s, t), \quad \dot{S}(p, s, t) \equiv \frac{1}{2} \int_{-1}^{1} d\mu \dot{S}(p, \mu, s, t) \\ \frac{\partial F}{\partial t} &= \frac{\partial}{\partial s} \kappa_{ss} \frac{\partial F}{\partial s} + \frac{1}{p^2} \frac{\partial}{\partial p} \left( p^4 \kappa_{pp} \frac{\partial F}{\partial p} + p^2 \langle \dot{p}_L \rangle F \right) + \frac{p}{3} \left( \frac{\partial u}{\partial s} + 3 \frac{\partial \kappa_{sp}}{\partial s} \right) \frac{\partial F}{\partial p} - \frac{1}{p^2} \frac{\partial F}{\partial s} \frac{\partial}{\partial p} (p^3 \kappa_{sp}) + \dot{S} \\ \kappa_{ss} &= \frac{v^2}{8} \int_{-1}^{1} d\mu \frac{(1-\mu^2)^2}{D_{\mu\mu}}, \quad \kappa_{sp} = \frac{v}{4p} \int_{-1}^{1} d\mu \frac{(1-\mu^2)D_{\mu p}}{D_{\mu\mu}}, \quad \kappa_{pp} = \frac{1}{2p^2} \int_{-1}^{1} d\mu D_{pp} \left( 1 - \frac{D_{\mu p}^2}{D_{\mu\mu}} \right) \\ \texttt{Homogeneous } \partial/\partial s \to 0 \text{ or Spatially integrated } N(t, E) dE = \int \mathcal{A}(s) ds [4\pi p^2 F(t, s, p) dp], \quad \dot{Q}(t, E) dE = \int \mathcal{A}(s) ds [4\pi p^2 \dot{S}(t, s, p) dp] \\ \texttt{If We Define Escape Time as } \frac{N(t, E)}{T_{esc}(E)} = -4\pi p^2 \int \mathcal{A}(s) ds \frac{\partial}{\partial s} \left( \kappa_{ss} \frac{\partial F}{\partial s} - 3\kappa_{sp} F \right) \end{cases}$$

#### Acceleration at Transport Equation *Pitch angle averaged; Spatially integrated*



$$A_{\rm SA} = \frac{2\gamma^2 - 1}{\gamma^2 + \gamma} \left(\frac{D_{EE}}{E}\right), \quad A_{\rm sh} = \zeta E \left(\frac{u_{\rm sh}^2}{\kappa_{ss}}\right) = 8\zeta E \left(\frac{u_{\rm sh}}{v}\right)^2 \left(\langle\frac{(1 - \mu^2)^2}{\bar{D}_{\mu\mu}}\rangle\right)^{-1}$$

$$\tau_{\rm diff} = \frac{D_{EE}}{E^2}, \ \tau_{\rm ac,SA}(E) = \frac{1}{\bar{\kappa}_{pp}} = \frac{p^2}{\langle \bar{D}_{pp} - \bar{D}_{p\mu}^2 / \bar{D}_{\mu\mu} \rangle}, \ \tau_{\rm ac,sh} = \left(\frac{v}{u_{\rm sh}}\right)^2 \left(\frac{3}{\zeta}\right) \tau_{\rm sc}, \ \tau_{\rm sc}(E) = 3\frac{\bar{\kappa}_{ss}}{v^2} = \frac{3}{4} \langle \frac{(1-\mu^2)^2}{\bar{D}_{\mu\mu}} \rangle, \ \tau_L = \frac{E}{\dot{E}_L}$$

#### Model Scattering and Acceleration Times *Pryadko and Petrosian 1997, 98, 99*



### The Escape Times

Up and down reconnection site From Downstream and upstream of CME-shock

$$\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left( D_{EE} \frac{\partial N}{\partial E} \right) - \frac{\partial}{\partial E} \left[ (A - \dot{E}_L) N \right] + \frac{\dot{N}}{T_{\text{esc}}} \dot{P} \dot{Q}$$
$$\frac{N(t, E)}{T_{\text{esc}}(E)} = -4\pi p^2 \int \mathcal{A}(s) ds \frac{\partial}{\partial s} \left( \kappa_{ss} \frac{\partial F}{\partial s} - 3\kappa_{sp} F \right) \sim N \left( \frac{\kappa_{ss}}{L^2} \right) \sim N \left( \frac{\tau_{\text{sc}}}{\tau_{\text{cross}}^2} \right)$$

Strong diffusion	$T_{ m esc} \sim  au_{ m cros}^2$
Weak diffusion	$T_{ m esc} \sim  au_{ m cr}$
Converging B-field	$T_{ m esc} \propto  au_{ m sc}$

 $T_{\rm esc} \sim \tau_{\rm cross}^2 / \tau_{\rm sc}$ 

 $T_{
m esc} \sim au_{
m cross}$ 

### The Escape Times

Up and down reconnection site From Downstream and upstream of CME-shock



#### The Escape Times

Up and down reconnection site From Downstream and upstream of CME-shock

$$\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left( D_{EE} \frac{\partial N}{\partial E} \right) - \frac{\partial}{\partial E} \left[ (A - \dot{E}_L) N \right] + \frac{\dot{Q}}{T_{esc}} + \dot{Q}$$

Strong diffusion $T_{esc} \sim \tau_{cross}^2 / \tau_{sc}$ Weak diffusion $T_{esc} \sim \tau_{cross}$ Converging B-field $T_{esc} \propto \tau_{sc}$ Combined equation(For isotropic injection)

$$T_{\rm esc} = \tau_{\rm cross} \left( \eta + \frac{\tau_{\rm cross}}{\tau_{\rm sc}} + \ln \eta \frac{\tau_{\rm sc}}{\tau_{\rm cross}} \right)$$

Simulation Results (F. Effenberger & VP, 2018, ApJ, 868, L28)



## What Do Observations Say about The Escape Time

Escape time relates the accelerated particle spectra to the flux of escaping particles

 $T_{\rm esc}(E) = N(E)/\dot{Q}(E)$ Thus from observed spectra of these two components we can obtain the escape time

## Examples

1. Solar Flare Loop top and Foot point spectra

2. Supernova remnant and CR spectra (VP & Chen 2014 PR)

#### Regularized Inversion of Photon Images to Electron Images

 $I(x,y;\epsilon) = \frac{a^2}{4\pi R^2} \int_{E=\epsilon}^{\infty} N(x,y)\overline{F}(x,y;E)Q(\epsilon,E) dE \quad J(x,y;q) dq = \int_{x} \int_{y} \int_{\epsilon=q}^{\infty} D(q,\epsilon)I(x,y;\epsilon) d\epsilon dx dy$ 

RHESSI produces count visibility, Fourier component of the source

$$V(u,v;q) = \mathcal{F}^2(J(x,y;q)) \equiv \int_x \int_y J(x,y;q) e^{2\pi i (ux+vy)} dx dy$$

Defining electron flux visibility spectrum and count cross section  $W(u,v;E) = a^{2} \int_{x} \int_{y} N(x,y)\overline{F}(x,y;E)e^{2\pi i(ux+vy)} dx dy \qquad K(q,E) dq = \int_{\epsilon=q}^{\infty} D(q,\epsilon)Q(\epsilon,E) d\epsilon$ We get  $V(u,v;q) = \frac{1}{4\pi R^{2}} \int_{q}^{\infty} W(u,v;E)K(q,E) dE$ 

Regularized inversion produced *smoothed* electron flux visibility spectrum

$$\left\|\boldsymbol{V}_{[u,v]} - \boldsymbol{K} \cdot \boldsymbol{W}_{[u,v]}\right\|^{2} + \lambda_{[u,v]} \left\|\boldsymbol{W}_{[u,v]}\right\|^{2} = \text{minimum}$$

Fourier Transform Gives  $N(x,y)\overline{F}(x,y;E) = \frac{1}{a^2} \int_u \int_v W(u,v;E) e^{-2\pi i (ux+vy)} du dv$ 

Piana et al. 2007

#### Inversion of (X3.9 class) 2003 Nov 3 Flare *Petrosian & Chen 2010*



HXR images by MEM NJIT



#### Inversion of RHESSI images Chen and Petrosian 2013



#### Escape and Scattering Times Theory and Empirical Determinations

Enernberger and VF 2017

CHEIL & VF 2013



## 2005 September 8 Flare M2.1



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## Models of gamma-ray emission

- Acceleration at CME-shock and escape from downstream to the Sun
- **Objections 1.** Need to transport of particles to region far away from the AR
- This requires either/both transport across field lines, field meandering or field lines connecting the acceleration site to regions far from the AR

Recent simulation of CME B field for Sep. 01, 2014 (~40 degree) BTL flare

(Meng Ji, VP, Wei Liu et al. 2018, 867, 122)

Shows B fields connecting both the shock and the CME to the visible Sun regions near the Fermi-LAT centroid

### Models of gamma-ray emission Simulation Results

#### **CME** Simulation and Observations



Figure 3. Comparison showing a general agreement between the white-light observations from SOHO LASCO C2 (top left) and STEREO-B COR1 (top right) and the respective synthesized white-light images from the simulation (bottom). The color scale shows the relative total brightness changes compared to the pre-event background level.

#### **B** Field Construction



Figure 5. Magnetic field evolution in the first 30 minutes after the flux rope eruption. (a)–(d) show the 3D field configuration (viewed from the Earth) at t = 5, 10, 20, and 30 minutes. The red field lines represent the flux rope. The white field lines represent the large-scale helmet streamers. The green field lines are selected field lines near the *Farmi-LAT*  $\gamma$ -ray emission region from the simulation at t = 30 minutes. The yellow dot and circle indicate the LAT >100 MeV emission centroid and 68% error radius of 100°, respectively. The white contour shows the 6–12 keV *RHESSI* source. The blue plus represents the projected BTL position of the *STEREO* flare ribbon centroid. The green field lines connect to the CME-driven shock and the red field lines to the flare/CME source region behind the limb.



Konus

#### Meng Ji, VP, Wei Liu et al. ApJ 2018, 867, 122



- Red: Field lines connected to the CME shock.
- Yellow: Field line connected to the CME source

### Models of gamma-ray emission Simulation Results

#### More simulation results





Figure 7. Evolution of shock parameters at t = 10, 20, 30, and 60 minutes from left to right. The top to bottom panels represent the compression ratio, shock speed, shock Alfvén Mach number, and shock  $\theta_{Bm}$ . The yellow field lines represent the open field near the *Fermi*-LAT  $\gamma$ -ray emission region connected to the CME-driven shock. The white arrow points to the shock surface connected back to the visible side of the Sun. The white circle in the upper right panel marks the possible shock–shock interaction region (see the text).

## Models of gamma-ray emission

3. Acceleration at CME-shock and escape from downstream to the Sun

Objections 2. Large B convergence  $(\eta \gg 1)$  small loss cone

*Few particles escape if there is no scattering* However, collisions or turbulence can scatter particles into the loss cone and allow particles to escape on a timescale comparable to the duration time



$$T_{\rm esc} \leq \eta \times \tau_{\rm cross} \sim \eta (u_{\rm CME}/v_p) t$$

 $T_{\rm esc} < t$  for  $u_{\rm CME} = 10^3, v_p = 2 \times 10^5, \ \eta \sim 170$ 

## Summary Part 1

- 1. Acceleration of RPPs in flare reconnection site and SEPs at CME-shock environments are interconnected
- 2. Fermi-LAT gamma-ray flares have temporal evolution similar to CMEs and SEPs than the impulsive emissions
- 3. Gamma-ray centroid migrates far away its AR; a necessary behavior for BTL flares
- 4. Most likely scenario is that CME accelerated protons from downstream are transported to the photosphere
- 5. Simulations of the CME, shock and B fields are consistent with this scenario

## Connections between SEPs and RPPs

#### 1. CME accelerated particles as RPPs: Fermi Observations

#### 2. RPPs as seeds in CME-Acceleration of SEPs: RHESSI and



## Radiation Producing Particles: RPPs

#### Focus of solar physics



![](_page_45_Picture_3.jpeg)

## Outline: Part 2

I. Motivations:

Observations and Seed particles for CME-shock

II. The Role of RPPs in Production of SEPs

Coronal acceleration and re-acceleration at the CME

#### 1. SEP and HXR *Electron* Spectra

"Impulsive; Prompt"

"Gradual; Delayed" Events

![](_page_47_Figure_3.jpeg)

Konus-WIND at 25

Krucker et al. 2007

#### 2. SEP ION Spectra

![](_page_48_Figure_1.jpeg)

## He3, He4 Fluence Ratios

Not bimodal: gradual variation with acceleration rate

![](_page_49_Figure_2.jpeg)

Konus-WIND at 25

![](_page_50_Figure_0.jpeg)

#### Impulsive or Prompt Events Acceleration by Turbulence only at the Flare Site

![](_page_51_Figure_1.jpeg)

#### Impulsive or Prompt Events Acceleration by Turbulence only at the Flare Site

![](_page_52_Figure_1.jpeg)

### Impulsive or Prompt Events Acceleration by Turbulence only at the Flare Site

#### Relative numbers of RPP and SEP (electrons)

![](_page_53_Figure_2.jpeg)

number of electrons in HXR flare

Krucker et al. 2007

![](_page_54_Figure_0.jpeg)

Shock:  $\partial N/\partial t = -\partial (A_{\rm sh}N)/\partial E - N/T_{\rm esc} + \dot{Q} = 0$ 

$$\begin{aligned} & \mathsf{Stochastic:} \quad \frac{d}{dE} \left[ D_{\mathrm{EE}} \left( \frac{dN}{dE} - \frac{N}{E} \xi \right) \right] - \frac{N}{T_{\mathrm{esc}}} = \dot{Q} \\ & A_{\mathrm{eff}} = \left( \xi - \frac{d \log N}{d \log E} \right) \frac{D_{EE}}{E}, \qquad \frac{\partial N}{\partial t} = -\frac{\partial (A_{\mathrm{eff}} N)}{\partial E} - \frac{N}{T_{\mathrm{esc}}} + \dot{Q} \end{aligned}$$

#### Solution with Source term flare accelerated electrons

$$F(E) = \frac{R(E)}{E} e^{-\eta} \int_0^E e^{\eta'} \dot{Q}(E') dE'; \quad \frac{d\eta}{dE} = \frac{R(E)}{E} \quad R(E) \equiv \tau_{\rm ac}^{\rm sh} / T_{\rm esc} = R_0 E^r$$

Gradual or Delayed Events *Re-acceleration at the CME shock* 

$$\partial N/\partial t = -\partial (A_{\rm sh}N)/\partial E - N/T_{\rm esc} + \dot{Q} = 0$$

Solution with Source term flare accelerated electrons

![](_page_56_Figure_3.jpeg)

![](_page_56_Figure_4.jpeg)

## B. Ion (He) spectra and abundances

#### 2. SEP-lon Spectra and 3He Enrichment

![](_page_58_Figure_1.jpeg)

## He3, He4 Fluence Ratios

Not bimodal: gradual variation with acceleration rate

![](_page_59_Figure_2.jpeg)

## B. He3, He4 Abundances and Spectra

![](_page_60_Figure_1.jpeg)

#### "Impulsive" He Spectra: SA at Flare Excellent agreement with many events

![](_page_61_Figure_1.jpeg)

## He3, He4 Fluence Ratios

Not bimodal: gradual variation with acceleration rate

![](_page_62_Figure_2.jpeg)

#### Flare accelerated He4 Spectra Do not agree with observed gradual events

![](_page_63_Figure_1.jpeg)

## He3, He4 Spectral Variations

![](_page_64_Figure_1.jpeg)

## **BUT** Flare accelerated He4 Spectra after re-acceleration at the CME-shock agree $E = \frac{R(E)}{E} e^{-\eta} \int_{0}^{E} e^{\eta'} \dot{Q}(E') dE'; \quad \frac{d\eta}{dE} = \frac{R(E)}{E}$

![](_page_65_Figure_1.jpeg)

Konus-WIND at 25

#### Numerical treatment of re-Acceleration

# Re-acceleration timescales

 $au_{
m ac}^{
m sh}; \; au_{
m diff}; \; T_{
m esc}; \; au_{
m loss}$ 

![](_page_66_Figure_3.jpeg)

### Summary: Part 2

- 1. Acceleration in flare reconnection and CME-shock environments are interconnected
- 2. SEP electron and HXR producing electron number and spectral comparisons support the weak diffusion scenario and re-acceleration of flare particles at the CME-shock.
- 3. Abundances and spectra of 3He and 4He also agree with this scenario.